

\$ Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

In re patent application of: MARCEL et al.

Serial No.: 10/024,535

Examiner:

Filed: December 21, 2001

Art Unit:

Docket #: P07479US01/BAS

For: THERAPEUTIC METHODS AND  
COMPOSITIONS FOR THE TREATMENT OF  
IMPAIRED INTERPERSONAL AND BEHAVIORAL  
DISORDERS



RESPONSE TO MISSING PARTS

Commissioner for Patents  
Washington, D.C.

SIR:

In response to the Notice to File Missing Parts of Application mailed February 25, 2002, enclosed are the following:

- ☒ **Copy of Notice to File Missing Parts of Nonprovisional Application**
- ☒ **Declaration** signed by the named inventor(s)
- ☐ **Application Data Sheet** supplementing signed declaration
- ☐ Accurate English **translation** of the application
- ☒ **Check** for \$ 2,414.00 (as calculated below)
- ☒ Recordation Form Cover Sheet and **Assignment** document to be recorded
- ☐ Certified copy of **Priority Document**, the priority of which is hereby claimed
- ☐ **Preliminary Amendment** reducing the filing fee.
- ☒ **Information Disclosure Statement**
- ☒ Other: Substitute Specification – Applicants state herein that the enclosed substitute specification contains no new matter;  
Sequence Listing and Diskette; Preliminary Amendment entering Sequence Listing and Statement

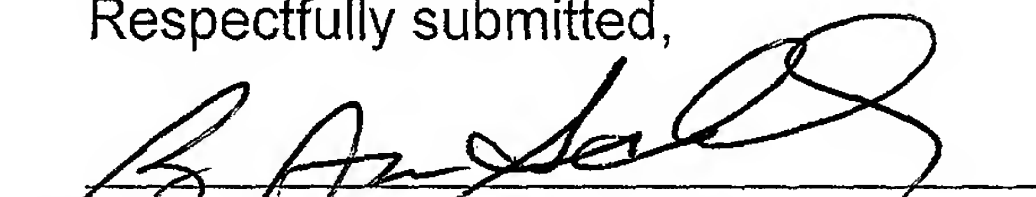
Fee Calculation (after reducing filing fee by preliminary amendment if noted above).

Note: ..... Applicant claims **small entity status**

FEE CALCULATION and notations					
	Now	Basic Number	Present Extra	Rate	\$
..... TOTAL CLAIMS	88	- 20	68	X \$ 18 =	1224
..... INDEP. CLAIMS	2	- 3	0	X \$ 84 =	
..... MULTIPLE DEPENDENT CLAIM(S)				+ \$ 280 =	280
<input checked="" type="checkbox"/> LATE FILING SURCHARGE				+ \$ 130 =	130
<input checked="" type="checkbox"/>				BASIC FEE \$ 740 =	740
TOTAL OF ABOVE CALCULATIONS =					2,374
..... Reduction by 1/2 for small entity status of applicant				-	
SUBTOTAL =					2,374
<input checked="" type="checkbox"/> Fee for recording of assignment				+ \$ 40 =	40
..... Fee for non-English specification				+ \$ 130 =	
TOTAL OF ALL FEES =					2,414.00
<input checked="" type="checkbox"/> If no check or an insufficient check is enclosed and a fee is due in connection herewith, the Commissioner is authorized to charge any fee or additional fee due in connection herewith to Deposit Account No. 12-0555.					

Respectfully submitted,

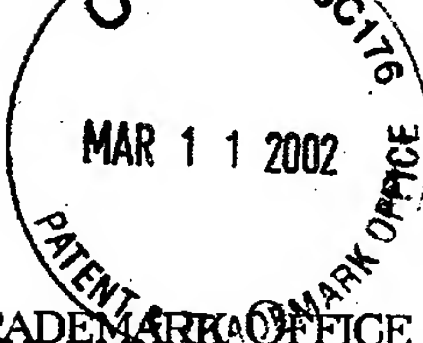
Date: 11 March 2002

  
By: B. Aaron Schulman  
Reg. No.: 31,877

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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/024,535	12/21/2001	Tony Marcel	P07479US01/BAS

00881

LARSON & TAYLOR, PLC  
1199 NORTH FAIRFAX STREET  
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ALEXANDRIA, VA 22314

CONFIRMATION NO. 2128

## FORMALITIES LETTER



\*OC000000007533107\*

Date Mailed: 02/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1504.
  - \$1224 for 68 total claims over 20.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2374.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and

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740.00 OP  
1224.00 OP  
280.00 OP  
130.00 OP

01 FC:101  
02 FC:103  
03 FC:104  
04 FC:105

white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1-35 are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because:*


*Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE